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February 09, 2021

**VIA ELECTRONIC FILING**

Honorable Kimberly D. Bose, Secretary  
Federal Energy Regulatory Commission  
888 First St., N.E.  
Washington, D.C. 20426

**Re: Midcontinent Independent System Operator, Inc.  
Docket No. OA08-14-\_\_\_\_  
Annual Compliance Report for Unreserved Use**

Dear Secretary Bose:

The Midcontinent Independent System Operator, Inc. (“MISO”), as a transmission provider, submits this filing to comply with requirements of Order No. 890-A regarding unreserved use of the Transmission System.<sup>1</sup>

**I. BACKGROUND**

Order No. 890-A requires that each transmission provider report to the Commission on any unreserved use and late study penalties imposed by that transmission provider “in an annual compliance report to be submitted on or before the deadline for submitting FERC Form-1, as established by the Commission’s Office of Enforcement each year.”<sup>2</sup>

The aforementioned annual informational filing “must provide: (1) a summary of penalty revenue credits by transmission customer; (2) total penalty revenues collected from affiliates; (3) total penalty revenues collected from non-affiliates; (4) a description of the costs incurred as a

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<sup>1</sup> Capitalized terms not otherwise defined herein have the meanings ascribed thereto in Section 1 of the MISO Tariff.

<sup>2</sup> *Preventing Undue Discrimination and Preference in Transmission Service*, Order No. 890, 72 FR 12266 (March 15, 2007), FERC Stats. & Regs. ¶ 31,241 at P 834 (2007) (“Order No. 890”), *order on reh’g*, Order No. 890-A, FERC Stats. & Regs. ¶ 31,261 (2007) (“Order No. 890-A”), *order on reh’g*, Order No. 890-B, 123 FERC ¶ 61,299 (2008).

result of the offending behavior; and (5) a summary of the portion of the unreserved penalty revenue retained by the transmission provider.”<sup>3</sup>

## **II. COMPLIANCE**

In compliance with the Commission’s directive in Order No. 890-A, MISO submits its annual report for the period from January 1, 2020 through December 31, 2020 in an attachment to this filing. The annual report details the unreserved use revenue credits by customer, summarizes the total penalty revenues collected from non-affiliates, and details the costs incurred as a result of the penalized activity. MISO has no affiliates, and did not retain any portion of the unreserved use revenue.

## **III. DOCUMENTS SUBMITTED WITH THIS FILING**

In addition to this Transmittal Letter, the following is submitted with this filing:

- Attachment A – Annual Operational Penalty Report – MISO (January 1, 2020 – December 31, 2020)

## **IV. COMMUNICATIONS**

All communications in this matter should be addressed to:

Jeffrey L. Small\*  
Midcontinent Independent System  
Operator, Inc.  
720 City Center Drive  
Carmel, IN 46032  
Telephone (317) 752-7149  
Facsimile: (317) 249-2111  
jsmall@misoenergy.org

\*Person authorized to receive service.

## **V. NOTICE AND SERVICE**

MISO has served a copy of this filing electronically, including its attachment, upon each person designated on the official service list compiled by the Secretary in this proceeding as well as all Tariff Customers, MISO Members, Member representatives of Transmission Owners and Non-Transmission Owners, as well as all state commissions within the region. In addition, the

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<sup>3</sup> Order No. 890-A at n.186.

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filing has been posted electronically on MISO's website at <https://www.misoenergy.org/legal/ferc-filings/> for other interested parties in this matter.

## VI. CONCLUSION

MISO requests that the Commission accept this compliance filing. Any questions or need for additional information should be addressed to the person indicated above.

Respectfully submitted,

/s/ Jeffrey L. Small

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*Counsel for the Midcontinent Independent  
System Operator, Inc.*

## Annual Operational Penalty Report

MISO

January 1, 2020 - December 31, 2020

## ATTACHMENT A

Penalty Revenue Credits Disbursed (by Customer)	Penalty Type	Dollars
0688 - PUBLIC POWER LLC	Unreserved Use	\$0.81
1073 - CITY OF MALDEN - BOARD OF	Unreserved Use	\$0.46
1130 - SAGINAW CHIPPEWA INDIAN TRIBE OF MICHIGAN	Unreserved Use	\$0.10
1939 - PALMCO POWER IL LLC	Unreserved Use	\$0.70
4545 - VIRIDIAN ENERGY PA LLC	Unreserved Use	\$0.11
8890 - ENERGY SERVICES PROVIDERS	Unreserved Use	\$2.69
ABVL - CITY OF ABBEVILLE LOUISI	Unreserved Use	\$2.51
AECC - ARKANSAS ELECTRIC COOPERA	Unreserved Use	\$166.48
AEPE - AEP ENERGY PARTNERS INC	Unreserved Use	\$10.08
AEPM - AMERICAN ELECTRIC POWER SERVICES	Unreserved Use	\$18.44
ALTM - ALLIANT ENERGY CORP SERVICES	Unreserved Use	\$520.04
AMBT - AMBIT NORTHEAST LLC	Unreserved Use	\$6.86
AMCP - AMEREN CIPS	Unreserved Use	\$157.98
AMEM - AMEREN ENERGY MARKETING	Unreserved Use	\$247.47
AMES - CITY OF AMES	Unreserved Use	\$9.91
AMPO - AMERICAN MUNICIPAL POWER	Unreserved Use	\$42.09
AMUE - AMEREN UNION ELECTRIC	Unreserved Use	\$609.41
APM - ASSOCIATED ELECTRIC COOPERATIVE	Unreserved Use	\$3.59
AREP - AEP RETAIL ENERGY PARTNERS LLC	Unreserved Use	\$21.07
AXLA - CITY OF ALEXANDRIA LA	Unreserved Use	\$13.72
BEPM - BASIN ELECTRIC POWER COOP	Unreserved Use	\$27.55
BOCE - BOC ENERGY SERVICES INC	Unreserved Use	\$2.07
BPEC - BP ENERGY COMPANY	Unreserved Use	\$10.33
BPI - BRUCE POWER INC	Unreserved Use	\$0.01
BRAZ - BRAZOS ELECTRIC POWER COO	Unreserved Use	\$9.93
BRPS - BIG RIVERS ELECTRIC CORPORATION	Unreserved Use	\$58.06
BRTM - BROOKFIELD RENEWABLE TRADING AND MARKETING LP	Unreserved Use	\$5.59
BUD - CITY OF BENTON	Unreserved Use	\$5.22
CBPC - CORN BELT POWER COOP	Unreserved Use	\$1.68
CCJN - CLECO CAJUN LLC	Unreserved Use	\$155.57
CETR - CONSUMERS ENERGY TRADERS	Unreserved Use	\$601.22
CFU - CEDAR FALLS UTILITIES	Unreserved Use	\$8.41
CHEL - CHAMPION ENERGY SERVICES LLC	Unreserved Use	\$1.03
CIPC - CENTRAL IOWA POWER COOPERATIVE	Unreserved Use	\$4.91
CLOV - CLOVERLAND ELECTRIC COOPERATIVE	Unreserved Use	\$10.93
CMS - CMS CMS MKTNG SVCS AND TRDNG	Unreserved Use	\$3.77
CMSM - CMS MS&T MICHIGAN L.L.C.	Unreserved Use	\$2.33
CONC - CONOCO INC.	Unreserved Use	\$0.61
CORP - CORAL POWER	Unreserved Use	\$9.03
CPWR - CLECO POWER LLC	Unreserved Use	\$244.85
CWEP - CARTHAGE WATER AND ELECTR	Unreserved Use	\$1.13
CWL - CITY WATER AND LIGHT PLAN	Unreserved Use	\$24.35
CWM - CITY OF WEST MEMPHIS	Unreserved Use	\$6.15
CWP1 - CWP ENERGY INC.	Unreserved Use	\$0.13
DEMO - DETROIT EDISON MERCH OPS	Unreserved Use	\$782.04
DERS - CITY OF RUSTON LA	Unreserved Use	\$4.68
DMT1 - DYNEGY MARKETING AND TRADE LLC	Unreserved Use	\$42.79
DPCM - DAIRYLAND POWER COOP MKT	Unreserved Use	\$93.64
DTET - DTE ENERGY TRADING INC.	Unreserved Use	\$0.77
DYNP - DYNASTY POWER INC	Unreserved Use	\$1.34
EAGL - EAGLE ENERGY PARTNERS I L.P.	Unreserved Use	\$82.83
EAMP - ENTERGY ARKANSAS INC.	Unreserved Use	\$334.66
EDEP - THE EMPIRE DISTRICT ELECTRIC CO	Unreserved Use	\$11.38
EIPS - EAGLE INDUSTRIAL POWER SERVICES IL LLC	Unreserved Use	\$38.78

ELEC - CITY OF ESCANABA MICHIGAN	Unreserved Use	\$2.09
ELIG - ELIGO ENERGY LLC	Unreserved Use	\$0.54
ELMP - ENTERGY LOUISIANA LLC	Unreserved Use	\$1,025.78
EMMP - ENTERGY MISSISSIPPI INC.	Unreserved Use	\$244.07
ENDU - ENDURE ENERGY LLC	Unreserved Use	\$1.14
ENMP - ENTERGY NEW ORLEANS INC.	Unreserved Use	\$107.39
ETEC - EAST TEXAS ELECTRIC COOPE	Unreserved Use	\$37.76
ETMP - ENTERGY TEXAS INC.	Unreserved Use	\$314.96
ETNA - ENEL TRADING NORTH AMERICA, LLC	Unreserved Use	\$0.17
EWOM - EWO MARKETING LLC	Unreserved Use	\$6.98
EXGN - EXCELON GENERATION CO.	Unreserved Use	\$119.50
EXOL - EXOLON RESOURCES L.L.C.	Unreserved Use	\$2.70
FESC - FIRST ENERGY SOLUTIONS CO	Unreserved Use	\$31.06
FPLP - FPL ENERGY POWER MGT.INC.	Unreserved Use	\$14.29
FTRE - FTR ENERGY SERVICES LLC	Unreserved Use	\$0.02
GENO - CITY OF GENESEO ILLINOIS	Unreserved Use	\$1.07
GLU - GREAT LAKES UTILITIES	Unreserved Use	\$15.47
GREM - GREAT RIVER ENERGY MKT	Unreserved Use	\$212.40
HANN - CITY OF HANNIBAL	Unreserved Use	\$3.06
HCPD - HEARTLAND CONSUMERS POWER DISTRICT	Unreserved Use	\$3.39
HEPM - HOOSIER ENERGY RURAL ELECTRIC COOPERATIVE MKT	Unreserved Use	\$139.40
HMKY - HENDERSON UTILITY COMM DBA HENDERSON MUNICIPAL POWER A	Unreserved Use	\$8.99
HQUS - HQ ENERGY SERVICES US INC	Unreserved Use	\$1.11
HUC - HUTCHINSON UTILITIES	Unreserved Use	\$4.38
HWE - PIONEER PRAIRIE WIND FARM I LLC	Unreserved Use	\$11.82
IGSI - INTERSTATE GAS SUPPLY, INC.	Unreserved Use	\$0.01
IMEA - ILLINOIS MUNICIPAL ELECTRIC AGENCY	Unreserved Use	\$70.65
IMPA - INDIANA MUNICIPAL PWR AGY	Unreserved Use	\$64.23
IPLM - INDIANAPOLIS POWER PM	Unreserved Use	\$246.76
KIRK - CITY OF KIRKWOOD	Unreserved Use	\$2.76
KMPA - KENTUCKY MUNICIPAL POWER AGENCY	Unreserved Use	\$14.57
KYMA - KENTUCKY MUNICIPAL ENERGY AGENCY	Unreserved Use	\$22.75
LBWL - CITY OF LANSING BY ITS BOARD OF WATER AND LIGHT	Unreserved Use	\$0.10
LLWF - LOST LAKES WIND FARM LLC	Unreserved Use	\$6.27
LWEC - LANSE WARDEN ELECTRIC COMPANY LLC	Unreserved Use	\$0.18
MAG - MAG ENERGY SOLUTIONS INC.	Unreserved Use	\$1.01
MCPI - MACQUARIE COOK POWER INC.	Unreserved Use	\$12.94
MCPU - MT. CARMEL PUBLIC UTILITY CO.	Unreserved Use	\$1.97
MDUM - MONTANA-DAKOTA UTILITIES CO.	Unreserved Use	\$54.49
MEAM - MUNICIPAL ENERGY AGENCY O	Unreserved Use	\$9.16
MEAN - MUNICIPAL ENERGY AGENCY OF NEBRASKA	Unreserved Use	\$11.86
MECB - MIDAMERICAN ENERGY	Unreserved Use	\$426.01
MES - MIDAMERICAN ENERGY SERVICES	Unreserved Use	\$16.65
MEUC - MISSOURI JOINT MUNICIPAL ELECTRIC UTILITY COMM	Unreserved Use	\$24.93
MGEP - MGE-POWER-MARKETING	Unreserved Use	\$55.31
MHEM - MANITOBA HYDRO MARKETING	Unreserved Use	\$26.76
MMPA - MMPA MINNESOTA MUN.PWR.AGENCY	Unreserved Use	\$31.55
MPC - MINNKOTA POWER COOP.	Unreserved Use	\$77.13
MPM - MINNESOTA POWER MARKETING	Unreserved Use	\$224.81
MPPA - MICHIGAN PUBLIC POWER AGENCY	Unreserved Use	\$52.12
MPUG - MANITOWOC PUBLIC UTIL	Unreserved Use	\$8.65
MPWM - MUSCATINE POWER AND WATER	Unreserved Use	\$13.70
MRES - MISSOURI RIVER ENERGY SERVICES	Unreserved Use	\$38.48
MSCG - MORGAN STANLEY CAPITAL	Unreserved Use	\$0.10
MSCP - MICHIGAN S. CENTRAL POWER	Unreserved Use	\$10.06
NCP - NORTH CENTRAL POWER COMPANY INC.	Unreserved Use	\$0.43
NESL - NORDIC ENERGY SERVICES LLC	Unreserved Use	\$4.23
NEVI - AES NEWENERGY INC.	Unreserved Use	\$153.24
NEWT - CITY OF NEWTON	Unreserved Use	\$0.47
NEXU - NEXUS ENERGY INC.	Unreserved Use	\$0.48

NIMP - NORTHERN ILLINOIS MUNICIPAL POWER AGENCY	Unreserved Use	\$14.80
NIP - NORTHERN INDIANA PUBLIC SERVICE	Unreserved Use	\$242.06
NLR - NORTH LITTLE ROCK ELECTRI	Unreserved Use	\$15.00
NRG - NRG POWER MARKETING INC	Unreserved Use	\$136.97
NSPP - NORTHERN STATES POWER - MINNESOTA	Unreserved Use	\$698.81
NSPX - NORTHERN STATES POWER CO	Unreserved Use	\$2.18
NULM - NEWULM PUBLIC UTILITIES	Unreserved Use	\$1.15
NWE - NORTHWESTERN WISCONSIN ELETR	Unreserved Use	\$3.04
NWLB - NEWBERRY WATER AND LIGHT BOARD	Unreserved Use	\$0.29
OMUA - OWENSBORO MUNICIPAL UTILITIES	Unreserved Use	\$13.85
OPGT - ONTARIO POWER GENERATION ENERGY TRADING INC	Unreserved Use	\$2.35
OTPW - OTTER TAIL POWER CO.	Unreserved Use	\$77.60
PARL - CITY OF PIGGOTT AR MUNIC	Unreserved Use	\$0.69
PBEL - CITY OF POPLAR BLUFF MUNI	Unreserved Use	\$2.28
PEIC - PRAIRIELAND ENERGY INC	Unreserved Use	\$3.05
PPMI - PPMI PACIFICORPPOWERMARKETING	Unreserved Use	\$11.38
PSI - PUBLIC SERVICE OF INDIANA INC.	Unreserved Use	\$475.55
PURE - PURE ENERGY INC	Unreserved Use	\$0.03
REMC - RAINBOW ENERGY MKT. CORP.	Unreserved Use	\$0.44
REN - RENAISSANCE POWER	Unreserved Use	\$75.16
REN1 - RELIANT ENERGY NORTHEAST LLC	Unreserved Use	\$3.83
RPGI - RESALE POWER GROUP IOWA	Unreserved Use	\$11.40
SEBE - SEBEWAING LIGHT & WATER	Unreserved Use	\$0.59
SEL - STRATEGIC ENERGY LTD.	Unreserved Use	\$36.68
SES - SEMPRA ENERGY SOLUTIONS	Unreserved Use	\$35.21
SIGW - SOUTHERN INDIANA GAS AND ELECTRIC	Unreserved Use	\$80.33
SIPM - SOUTHERN ILLINOIS POWER COOPERATIVE MKTG	Unreserved Use	\$35.59
SMEP - SOUTH MISSISSIPPI ELECTRI	Unreserved Use	\$140.53
SOY - SOYLAND POWER COOPERATIVE	Unreserved Use	\$23.75
SUEZ - SUEZ ENERGY MARKETING NA INC.	Unreserved Use	\$5.11
SUSP - SUSTAINING POWER SOLUTIONS LLC	Unreserved Use	\$11.37
SWCC - SOUTHWESTERN ELECTRIC COOPERATIVE INC.	Unreserved Use	\$6.20
SWE - SOUTHERNWHOLESALEENERGY	Unreserved Use	\$46.03
SWWA - SOUTHWEST WATER AUTHORITY	Unreserved Use	\$0.11
TEA - THE ENERGY AUTHORITY	Unreserved Use	\$177.41
TECX - TEC ENERGY INC.	Unreserved Use	\$0.14
TEMU - TRANSATLA ENERGY MKTNG-US INC.	Unreserved Use	\$0.08
TILT - TILTON ENERGY LLC	Unreserved Use	\$20.44
TNSK - TENASKA POWER SVRVICES CO.	Unreserved Use	\$0.04
TPM - TENASKA POWER MANAGEMENT, LLC	Unreserved Use	\$1.24
TREX - TEXAS RETAIL ENERGY LLC	Unreserved Use	\$3.78
TVAM - TENNESSEE VALLEY AUTHORITY MKT	Unreserved Use	\$15.32
TWP - TATANKA WIND POWER LLC	Unreserved Use	\$5.92
UCU - UTILICORP UNITED-RPM&G	Unreserved Use	\$23.84
UMRC - UPPER MICHIGAN ENERGY RESOURCES CORPORATION	Unreserved Use	\$21.60
UPLS - UTILITIES PLUS/CMMMPA	Unreserved Use	\$9.08
UPP - UPPER PENINSULA POWER CO.	Unreserved Use	\$13.85
VEM - VISTA ENERGY MARKETING	Unreserved Use	\$1.19
VTOL - VITOL INC.	Unreserved Use	\$2.21
WEPM - WISCONSIN ELECTRIC	Unreserved Use	\$418.54
WPPI - WISCONSIN PUB. PWR. INC.	Unreserved Use	\$81.47
WPSC - WOLVERINE POWER SUPPLY	Unreserved Use	\$67.77
WPSM - WISCONSIN PUBLIC SERVICE	Unreserved Use	\$199.04
WRGS - WRGENERATIONSERVICES	Unreserved Use	\$0.74
WVPA - WABASH VALLEY POWER ASSOCIATION	Unreserved Use	\$122.10
XOEN - XOOM ENERGY LLC	Unreserved Use	\$0.67
XOMW - XO ENERGY MW LP	Unreserved Use	\$0.21
<b>Total Disbursement Of Penalty Revenue Credits to Customers</b>	<b>Unreserved Use</b>	<b>\$11,816.74</b>

<b>Penalty Revenue Collected From Affiliates</b>	<b>Penalty Type</b>	<b>Dollars</b>
----- NO AFFILIATES -----	Unreserved Use	\$0.00
<b>Total Penalty Revenue Collected From Affiliates</b>	<b>Unreserved Use</b>	<b>\$0.00</b>

<b>Penalty Revenue Collected From Non-Affiliates</b>	<b>Penalty Type</b>	<b>Dollars</b>
TECX - TEC ENERGY INC.	Unreserved Use	\$ 1,019.96
TILT - TILTON ENERGY LLC	Unreserved Use	\$ 21.43
TVAM - TENNESSEE VALLEY AUTHORITY MKT	Unreserved Use	\$ 7,493.22
UCU - UTILICORP UNITED-RPM&G	Unreserved Use	\$ 3,282.13
<b>Total Penalty Revenue Collected From Non-Affiliates</b>	<b>Unreserved Use</b>	<b>\$ 11,816.74</b>

<b>Cost Incurred From The Offending Behavior Prompting Penalties Levied</b>	<b>Penalty Type</b>	<b>Dollars</b>
TECX - TEC ENERGY INC.	Unreserved Use	\$ 35.27
TILT - TILTON ENERGY LLC	Unreserved Use	\$ 0.89
TVAM - TENNESSEE VALLEY AUTHORITY MKT	Unreserved Use	\$ 228.13
UCU - UTILICORP UNITED-RPM&G	Unreserved Use	\$ 126.25
<b>Total Cost Incurred From The Offending Behavior Prompting Penalties Levied</b>	<b>Unreserved Use</b>	<b>\$ 390.54</b>

<b>Portion of the Unreserved Use Penalty Revenue Retained By The Transmission Provider</b>	<b>Penalty Type</b>	<b>Dollars</b>
----- NONE -----	Unreserved Use	\$0.00
<b>Total Unreserved Use Penalty Revenue Retained By The Transmission Provider</b>	<b>Unreserved Use</b>	<b>\$0.00</b>