



## CERTIFICATION FOR AUTHORIZED AGENCY STATUS

This form provides the needed information for the certification of counsel located in Tariff Section 38.9.4.1.c. regarding Authorized Agency status. All capitalized terms not defined in this form have the meaning of defined terms in the Tariff.

Please send your completed form to [help@misoenergy.org](mailto:help@misoenergy.org). If you have questions about the status of this certification, please contact Client Relations at 1-866-296-6476, Option 3, or email [help@misoenergy.org](mailto:help@misoenergy.org).

**Certification date:**

**Name of agency ("Agency") for whom certification is provided:**

**Name of counsel making certification:**

**Contact: phone:                      email:**

**What is the best way to contact you about your certification?**

Phone     Email

Counsel certifies that he/she represents the above-identified Agency that:

- (i) has statutory authority to protect the confidentiality of any Confidential Information received from MISO pursuant to the Non-disclosure Agreement from public release or disclosure and from release or disclosure to any other entity, including other agencies of state government (except to the extent that such disclosure is required or permitted by law),
  - a. a copy of the statutory authority is attached to this certification form;
  
- (ii) will defend against any disclosure of Confidential Information pursuant to any Third Party Request through all available legal processes, including, but not limited to, obtaining any necessary protective orders,
  - a. except as provided in state law, attached to this certification, that could prohibit or prevent the Agency from defending against any disclosure of Confidential Information, in which case MISO will be provided notice of the Third Party Request and will have standing to pursue legal processes, including obtaining a protective order, before the forum in which state law prohibits or prevents the Agency from taking such actions itself;
  
- (iii) will provide MISO with prompt notice of any such Third Party Request or legal proceedings and will consult and cooperate with

MISO and/or any Affected Participant in its/their efforts to deny the Third Party Request or defend against such legal process;

- (iv) will direct Authorized Requestors authorized by the Agency to furnish only that portion of the Confidential Information that the Agency's legal counsel advises MISO in writing is legally required to be furnished (*i.e.* in the event a protective order or other remedy is denied);
- (v) will exercise its best efforts to obtain assurance that confidential treatment will be accorded to such Confidential Information;
- (vi) has adequate practices or procedures in place to protect against the release of such Confidential Information; and
- (vii) has authorized Its Authorized Requestor(s) identified in the attached Appendix A, which will be updated by counsel for the Agency as necessary, to enter into the Non-Disclosure Agreement and to receive Confidential Information pursuant to the MISO Tariff and under the Non-Disclosure Agreement for Authorized Requestors (and will provide to MISO a written copy of such authorization(s) upon request).

I affirm the accuracy of the information provided in this certification and agree to notify MISO in writing of any changes to this information.

BY: Agency Counsel

\_\_\_\_\_  
Printed Name:

State of Licensure:

License No./Id.:

\_\_\_\_\_  
Date

**APPENDIX A**

**TO CERTIFICATION OF COUNSEL FOR AN AUTHORIZED AGENCY**

Authorized Agency, \_\_\_\_\_, is represented by the following employees,  
agents, and/or consultants who are authorized to enter into a Non-Disclosure Agreement  
for Authorized Requestors, Appendix A dated this \_\_\_\_\_ day of \_\_\_\_\_, 201 .

<u>Print Name</u>	<u>Title</u>	<u>E-mail Address</u>
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(Attach Additional Pages If Necessary)

NOTE: Any changes to the information on this Appendix A must be updated by counsel for the Authorized Agency and sent immediately by e-mail to the MISO Client Relations Department at [help@misoenergy.org](mailto:help@misoenergy.org).