



NDA Information

Non-disclosure agreement (NDA) forms and completion instructions are posted on the [Client Relations](#) page in the Stakeholder Center of the MISO website.

Client Relations processes six types of Non-Disclosure Agreements:

- Universal NDA (UNDA)
- Universal Planning NDA
- Critical Energy Infrastructure Information (CEII) NDA
- Research NDA
- Authorized Requestor NDA
- Attachment Z NDA - Available upon request and pertains only to Transmission Operators and Local Balancing Authorities

Note: All NDA submissions must be typed to ensure accuracy of data. The UNDA, Research and Authorized Requestor NDAs require legal approval.

Return all completed and signed NDA Forms to: help@misoenergy.org.

NDA Descriptions

Universal NDA (UNDA)

The UNDA is used for disclosure of Confidential Information to MISO Members and Market Participants - except in the case of legal impossibility, no modifications or revisions are permitted to the Universal NDA.

Examples of where the UNDA is required:

- Market Participant Application
- Extranet Access (restricted areas)
- MTEP FTP Access (Access also requires a CEII NDA)
- Consultants performing services for FERC.
- Other access requests permitted by MISO.

Note: Commercial Model access requires a UNDA and Access Approval. Contact help@misoenergy.org to request access.

Appendix A of the UNDA (last page of UNDA)

Appendix A lists the names of those individuals who are authorized to receive MISO Confidential Information on behalf of the entity. No access to Confidential Information will be granted unless an individual's name is listed on Appendix A.



Note: For updates to a previously accepted UNDA, submit only the updated Appendix A in its entirety with current date. The Agreement itself does not need to be resubmitted and does not require a new signature.

UNDA permits disclosure of Confidential Information to:

- MISO Members and Market Participants;
- TO Customers (if the TO Customer isn't a Market Participant or by any other entity that receives transmission service from a MISO TO pursuant to a GFA; and
- Regional Planning Coordination Entities (joint planning purposes).

Signature and Format:

- UNDA must be signed and dated by an authorized representative of the entity
- Appendix A should list all employees and/or consultants working for the entity who need access to MISO Confidential Information
- Employees names, titles and functional designation must be typed clearly on Appendix A
- Each employee and/or consultant must list a FERC required functional designation as Merchant/Market, Transmission/Reliability, or N/A (only with required accompanying documentation).

Critical Energy Infrastructure Information (CEII) NDA

CEII NDA is required for disclosure of CEII. Except in the case of legal impossibility, no modifications or revisions are permitted to the CEII NDA.

Examples of CEII Required Access are:

- MTEP FTP Access
- MISO Transmission Map (electronic copy)
- Power Flow Models (requires UNDA, CEII NDA and Data Request Form)
- PROMOD Data (requires UNDA, CEII NDA and Data Request Form)

Disclosure of CEII is limited to:

- MISO Members and Market Participants
- CEII requests by Non-Member Individuals (requires FERC Clearance)
- Consultants or Non-Stakeholders working on behalf of a Stakeholder or Market Participant requires a written letter or e-mail confirmation from the Stakeholder/Market Participant.
- Transmission Customers
- Interconnection Customers

Signature and Format:

CEII NDA must be signed and dated on an individual basis (each individual seeking access to CEII signs a separate CEII NDA).

Research NDA

Used for Disclosure of Confidential Information to any Researcher



The Research NDA is used by:

- Researchers requesting specific, individual disclosures of Confidential Information. Each Research NDA must specify the specific Confidential Information being requested. No general access to Confidential Information is permitted under a Research NDA. The Research NDA must be approved by the MISO Transmission Owner's Committee before disclosing Confidential Information to a Researcher.

Except in the case of legal impossibility, no modifications or revisions are permitted to the Research NDA format. MISO will not negotiate any Research NDA. Each Research NDA must be signed, "as is."

Signature and Format:

Research NDA must be signed on an individual basis (each Researcher requesting access to Confidential Information must sign a separate Research NDA).

Authorized Requestor NDA (Attachment EE)

The NDA is required for any specific disclosure (not ongoing access) of Confidential Information to any Authorized Agency as defined under the Tariff and explained below.

The Authorized Requestor NDA is used by:

- State Public Utility Commissions (within the geographic limits of MISO Region) and the Organization of MISO States.

Signature and Format:

Authorized Requestor NDA must be signed on an individual basis, i.e., each individual seeking access to the requested Confidential Information must sign a separate Authorized Requestor NDA.

The Authorized Requestor NDA must be completed in accordance with the requirements under Section 38.9.4 of the MISO Tariff and must be submitted to help@misoenergy.org.

The Authorized Agency is required to submit an Exhibit A listing all employees, representatives and/or consultants of the Authorized Agency, subject to the terms and limitations under the terms and conditions of the Authorized Requestor NDA.

To request an executed copy of an NDA, please email help@misoenergy.org.



Universal Planning NDA for Authorized Agencies

The Universal Planning NDA for Authorized Agencies may only be accepted by MISO if all requirements for the Authorized Requestor NDA are first met and there is a valid, executed Attachment EE NDA already in place. The Universal Planning NDA for Authorized Agencies is used by:

- State Public Utility Commissions (within the geographic limits of MISO Region) and the Organization of MISO States.

The Universal Planning NDA applies to ongoing disclosure (*e.g.*, ongoing participation in the stakeholder process) of certain Confidential Information to any Authorized Agency through the transmission planning process.

An Authorized Agency is obligated to provide MISO with a list of its employees, representatives and/or consultants, as appropriate, in Appendix A, as well as timely updates. Access to any planning process meetings where Confidential Information will be presented or discussed will not be granted to any individual unless the individual is listed on that Authorized Agency's Appendix A.

Examples of where the Planning UNDA is required:

- Ongoing disclosure for participation in MISO transmission planning stakeholder process

Signature and Format:

- Signed on an entity-wide basis (one representative for each Authorized Agency sign the Planning UNDA)
- Appendix A should list all employees and/or consultants working for the entity who need access to Midwest ISO Confidential Information
- Employees names, titles and functional designation must be typed clearly on Appendix A

Attachment Z NDA

The Attachment Z NDA is required for any disclosure of certain Confidential Information to any Transmission Operator or Balancing Authority pursuant to Section 38.9.1(A)(b) of the Midwest ISO Tariff. The Attachment Z NDA is available upon request. Any request for an Attachment Z NDA must be submitted to Client Relations. To the extent that a Transmission Owner or Local Balancing Authority has a UNDA on file, Attachment Z isn't needed. Attachment Z applies only to new Transmission Owners/Providers or Balancing Authorities who aren't MISO Members.