

APPENDIX A

TO UNIVERSAL NON-DISCLOSURE AND CONFIDENTIALITY AGREEMENT

Company, _____ Employees, Agents, or Consultants subject to
Confidentiality Agreement as of this _____ day of _____, 20____:

	<u>Print Name</u>	<u>Title</u>	<u>E-mail Address</u>	Function (Transmission/Reliability, Merchant/Market, or N/A [*])
1.				
2.				
3.				
4.				
5.				
6.				
7.				
8.				
9.				
10.				

(Attach Additional Pages If Necessary)

* An individual who is an employee of a Transmission Provider (as defined in 18 C.F.R. § 358.3(k)) may not be subject to the FERC functional separation requirements (*i.e.*, "N/A" designation) under certain circumstances in accordance with FERC Order No. 717 and the FERC regulations therein adopted. If an individual would receive information subject to this Agreement, the copy of this Appendix A executed for that individual must be accompanied by **written confirmation** from Company stating the following: (1) the designated individual is not engaged in transmission or marketing functions (*i.e.*, day-to-day duties and responsibilities for planning, directing, organizing or carrying out operations); (2) the individual has received training on the Standards of Conduct; and (3) the individual undertakes to comply with such Standards, including in particular the "no conduit rule" set forth in 18 C.F.R. § 358.6.

NOTE: Any changes to the information on this Appendix A must be sent immediately by e-mail to the MISO Client Services and Readiness Department at help@misoenergy.org.